



## “AML and Countering Terrorist Financing” A Seminar with Matthew Moore

**Why Attend?** Combating money laundering and terrorist financing remain one of the main compliance concerns for most law firms. This inter-active course explains the essential structure of the regime and provides practical advice on how to pilot your way through the complexities of the topic. The session includes analysis of the latest Law Society guidance and relevant case law and counts as update training under the Money Laundering Regulations.

### The Six Key Compliance Principles:

- The risk principle part 1: client ID. Screening for situations of enhanced CDD;
- The risk principle part 2: matter risk. Fee earner responsibility for checking on beneficial interests and nature and purpose of the instructions;
- Privilege part 1: Taking instructions and the duty to disclose;
- Privilege part 2: Effecting the instructions and doing the deal: the need for client consents to disclosures;
- When privilege breaks down: the right approach to the need for technical disclosures;
- When a disclosure is needed or has been made what can/must we tell the clients, other advisers and the other side?

**Speaker: Matthew Moore** is director of law firm risk management consultancy Web4Law and is a consultant solicitor with regulatory specialists Townshends LLP. His particular specialisms are risk, quality and professional compliance issues, including the planning of and training in anti-money laundering programmes. He was for many years the principal trainer for the Law Society in its Lexcel scheme and is the main author of the Commercial Risk and Quality Standard, a programme which converts generic management standards such as ISO 9001 to commercial legal practice. He is the editor and principal author of the Lexcel Office Procedures Manual (Law Society Publishing) – the standard template manual for law firms’ office and risk manuals - which is now in its fourth edition. His other works include ‘Compliance for Law Firms’ and ‘The Conveyancer’s Guide to Money Laundering and Mortgage Fraud’, both published by the Ark Group. He is also the co-author, with Diane Price, of ‘Money Laundering for Law Firms’, also by Ark. He has also published through Web4Law a compliance manual for MLROs.

**Tuesday 19th of October 2010  
1.30pm to 4.30pm**

**Venue: Birmingham Law Society, Cornwall Buildings, 45-51 Newhall Street, Birmingham  
B3 3QR**

**3 Hours CPD**

**Member Fee: £99 plus VAT, Non-Member Fee: £115 plus VAT**

### **To book onto this seminar:**

**Telephone Glenda Rogers, Professional Services Manager at  
Birmingham Law Society on 0121 222 4193**

**or**

**e-mail: [glenda@birminghamlawsociety.co.uk](mailto:glenda@birminghamlawsociety.co.uk)**

**or**

**book online: [www.birminghamlawsociety.co.uk](http://www.birminghamlawsociety.co.uk)**



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